

June, 2019

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ACADEMIC EMPLOYMENT

Assistant Professor	2012-present
James A. Griggs Fellow	2015-present
David Eccles School of Business University of Utah	
Visiting Academic Fellow (<i>Senior Economist</i>)	2016-2017
Securities and Exchange Commission Division of Economic Risk and Analysis Office of Risk Assessment	
Visiting Professor	Fall 2017
Darden School of Business University of Virginia	

EDUCATION

Ph.D. 2012 University of Massachusetts, Amherst, MA.
Major: Accounting; Minor: Financial Economics;

B.A. 2004 Lynchburg College, Lynchburg, VA
Major: Accounting;

RESEARCH ACTIVITIES

Publications

[“The Valuation Impact of SEC Enforcement Actions on Non-Target Foreign Firms”](#)
Journal of Accounting Research (March, 2016).

- Winner of the AAA Outstanding International Accounting Section Dissertation Award, 2013
- Presented at: 2012 BYU Accounting Conference, Provo, UT; 2011 Edwards Symposium on Financial Markets & Institutions, Saskatoon, Saskatchewan.; 2011 Financial Management Association, Denver, CO.; 2011 AAA Annual Meeting, Denver, CO.; 2011 AAA International Accounting Section, Tampa, FL.

Working Papers

[“The effects of cross-border cooperation on disclosure enforcement and earnings attributes”](#)

- Presented at: [2016] Utah Winter Accounting Conference, SLC, UT.; Division of Economic Risk and Analysis-SEC, Washington D.C.; Rotman School of Business, Toronto, ON, CAN; London Business School Accounting Symposium, London, England; University of Minnesota, Minneapolis, MN; [2017] Office of International Affairs-SEC, Washington D.C.; National Business Law Scholars Conference, S.J. Quinney College of Law; IOSCO Screening Group/Committee 4 (on enforcement)-Auckland, New Zealand; 2018 Integrating Corporate Reporting, Hebrew University Law School, Jerusalem, Israel.

[“Cross-border cooperation between securities regulators”](#)
Previously titled: [“The influence of cross-border regulatory arrangements on market quality”](#)

- Winner of Best Paper Award 2018, Centre for Economic Policy Research-Imperial Business School-PLATO Market Innovator (MI3) Conference
- Winner of 2018 Best Paper Award, International Accounting Section Midyear
- Presented at: [2017] *Division of Economic Risk and Analysis-SEC*, Washington D.C.; *Office of International Affairs-SEC*, Washington, D.C.; *University of Maryland-College Park*, MD; *Darden School of Business (UVA)-Charlottesville*, VA; *SMU SOAR Accounting Symposium*, Singapore; *Monetary Authority of Singapore*, Singapore; *IOSCO Screening Group/Committee 4 (on enforcement)-Auckland*, New Zealand; [2018] *IAS Mid-year conference*, Long Beach, CA; *FARS Mid-year Conference*, Austin, Texas; *University of Delaware*, New Ark, DE; *SEC Spring International Institute for Securities Market Development*, Washington, D.C.; *Israel Securities Authority*, Tel Aviv, Israel; *2nd Centre for Economic Policy Research-Imperial-Plato Market Innovator (MI3) Conference*, London, England; [2019] *Erasmus Liquidity Conference*, Rotterdam, Netherlands; *Multilateralism: Past, Present, and Future* World Bank Annual Bank Conference on Development Economics (ABCDE); *Dutch Authority for the Financial Markets (AFM)*, Amsterdam, Netherlands.

[“Cross-border regulatory networks and capital market integration”](#)

- Presented at: [2018] *Oregon State University*, Corvallis OR; *University of Massachusetts*, Amherst, MA; *Harvard Law School Program on International Financial Systems*, Boston MA; [2019] *World Federation of Exchanges*, Zurich Switzerland; *Frankfurt School, Germany*; *HEC Lausanne*, Lausanne Switzerland; *CFTC (Commodities and Futures Trading Commission)*, Washington, D.C.;

[“Regulatory cooperation and foreign portfolio investment”](#) with M. Lang, M. Maffett, and J. Omartian.

Invited Plenary Addresses

2019 World Federation of Exchanges working committee meeting
 2018 SEC’s 28th Annual International Institute for Securities Market Growth and Development
 2018 2nd Centre for Economic Policy Research-Imperial-Plato Market Innovator (MI3) Conference
 2018 Harvard Law School’s Program on International Financial Systems, Cambridge, MA
 2017 IOSCO SG/Committee 4 on enforcement and the exchange of information

Conference Presentations

World Bank—“Multilateralism: Past, Present, and Future,” 2019
 Erasmus Liquidity Conference, 2019
 Centre for Economic Policy Research-Imperial-Plato Market Innovator (MI3) Conference, 2018
 Integrating Corporate Reporting, Hebrew University Law School, 2018
 SEC Spring International Institute for Securities Market Growth and Development, 2018
 LBS Accounting Symposium, 2016
 Utah Winter Accounting Conference, 2016
 Edwards Symposium on Financial Markets & Institutions, Saskatoon, Saskatchewan, 2011

Invited conference Attendance

Minnesota Accounting Empirical Research Conference, 2019
 SEC Thomas Biolsi International Institute, 2017
 Washington Accounting Research Symposium, 2017
 Darden Accounting Winter Camp, 2017
 JAR/PCAOB Conference on Auditing and Capital Markets, 2016, 2017
 Global Issues in Accounting Conference, 2016, 2017, 2018
 Annual Rotman Accounting Research Conference, 2015

NASD OMX Conference on Capital Markets, UT-Austin, Austin, TX, 2014
Utah Winter Accounting Conference, 2013-2018

University Presentations

University of Wisconsin	UWAC	University of Delaware
University of Utah	University of Minnesota	Hebrew University Law School
University of Washington	LBS Accounting Symposium	Frankfurt School
University of Illinois	NBLSC	HEC Lausanne
University of Oregon	University of Maryland	
University of Toronto	UVA/Darden	

Regulatory Presentations

U.S. SEC (DERA) 2016&2017	SEC's 28 th International Institute (2018)
U.S. SEC (OIA) 2017&2017	Israel Securities Authority (2018)
IOSCO Screening Group/Committee 4 (2017)	Dutch AFM (2019)
Monetary Authority of Singapore (2017)	

Consultation with foreign securities regulators and buy/sell-side professionals (white papers, presentations, and other services)

My work on cross-border cooperation has been informative for practitioners and policy makers worldwide. I been invited to share these findings to a think tank for asset managers and broker dealers to help improve market structure (the Centre for Economic Policy Research-PLATO partnership in collaboration with Imperial College London). I have been honored to be the opening speaker at the World Federation of Exchanges annual meeting. In addition, I have been invited to share my findings at various venues for securities regulators. I have consulted on an individual basis with securities regulators from across the world. In several recent instances when countries are contemplating joining the MMoU, local regulators have submitted my studies to their members of parliament as evidence of its regulatory and market impact.

Blog Posts

Oxford Business Law Blog (invited):

[July 2016] ["The Valuation Impact of SEC Enforcement Actions on Non-Target Foreign Firms"](#)

CLS Blue Sky/Oxford Business Law/Duke Law School FinReg (invited):

[March 2018] ["How cross-border cooperation improves securities enforcement and financial reporting"](#)

CLS Blue Sky

[May 2018] ["The influence of cross-border cooperation on equity market liquidity"](#)

Other media

National Securities and Stock Market Commission (NSSMC, **Ukraine**)

[May 2018] [IOSCO MMoU Multilateral Memorandum: Impact of Cross-Border Cooperation on Equity Market Liquidity](#) (*Tweet*)

Centre for Economic Policy Research (CEPR)

[June 2018] ["Roger Silvers wins best paper"](#)

PLATO

[July 2018] [Best Paper: Centre for Economic Policy Research-Imperial-PLATO Market Innovator \(MI3\) Conference, 2018](#)

Inactive Working Papers

"The Effect of Enforcement on the Market Capitalization of Earnings: Evidence from U.S. Foreign Filers"

- Presented at: *2013 Conference on Financial Economics and Accounting (CFEA)*, University of North Carolina, Chapel Hill, N.C.; *2013 University of Oregon*, Eugene, OR.; *2013 University of Utah*; *2013 EAA Annual Meeting*, Paris, FRA.

GRANTS

DESB Behavioral Research Committee Grant, 2013
International Accounting Section (IAS) Grant, 2011

RECOGNITION

Scholarships/Awards

Best Paper, 2018 Centre for Economic Policy Research-Imperial-Plato Market Innovator (MI3)
Best Paper, 2018 IAS midyear
SEC Visiting Scholar/Academic fellow, 2016-2017
James A. Griggs Fellowship, 2015
AAA Outstanding International Accounting Dissertation Award, 2013
Eugene M. Isenberg Scholarship Award, 2011
Isenberg AIS Graduate Student Research Award, 2011
Arthur H. Carter Scholarship in Accounting, 2008-2012

Honors and Achievements

Distinguished Teaching Award Nominee 2009, 2010, 2011
J. Michael Cook Doctoral Consortium, 2011
International Accounting Section Doctoral Consortium, 2011 & 2012
Distinguished Teaching Award Nominee, 2010, 2011
DuPont Finance Field Program selection, 2006
Pole Vault Champion, Old Dominion Athletic Conference, 2001
Outstanding Male Track Athlete of the Year, 2001

TEACHING ACTIVITIES

Courses Taught

Management Accounting: Strategic management and performance assessment (MBA), UVA-Darden
Management (Cost) Accounting, University of Utah
Strategic Cost Management (MAcc/MBA), University of Utah
Introduction to Accounting II (Managerial), University of Massachusetts

PROFESSIONAL ACTIVITIES

Professional Experience

DuPont de Nemours, Wilmington, DE 2005-2007
Versatek Enterprises, LLC, Lititz, PA 2004-2005

Certifications

Certified Management Accountant (CMA) – 2009 (#31266 inactive status)
Six Sigma Greenbelt Certification – 2006
Microsoft Certified Excel Specialist (Expert Level) – 2006

Review Activities

SEC Conference on Markets and Institutions
Utah Winter Accounting Conference
Review of Financial Studies
The Accounting Review
Journal of Accounting Research
IAS Outstanding Dissertation of the Year Committee
Journal of International Accounting Research

Research Grants Council (RGC) of Hong Kong

Professional Service

Discussant & Presenter, AAA International Accounting Section, Long Beach, 2018
AAA IAS Outstanding Dissertation of the Year Committee, 2015
AAA IAS Membership Committee, 2014-2015
Discussant, Utah Winter Accounting Conference, Salt Lake City Utah, 2013
Reviewer, FARS, 2012, 2013, 2014, 2017
Reviewer, AAA, 2010, 2011, 2012, 2013, 2014
Discussant & Presenter, AAA International Accounting Section, Phoenix, 2012
Discussant & Presenter, Edwards Symposium on Markets and Institutions, Saskatoon, Saskatchewan, 2011
Discussant & Presenter, FMA Annual Meeting, Denver, 2011
Discussant, Reviewer, & Presenter, AAA Annual Meeting, Denver, 2011
Discussant, Reviewer, & Presenter, AAA International Accounting Section, Tampa, 2011
Discussant & Presenter, Mid-Atlantic Regional Meeting, Baltimore, 2011
Ad Hoc Reviewer, Northeast Decision Sciences Institute Annual Meeting, 2011
Presenter, AAA Annual Meeting, San Francisco, 2010
Discussant & Presenter, FMA Annual Meeting, Reno, 2009

Other Service

1st year summer paper committee member for Elia Ferracuti 2015
Honors Advisor 2017-2018
SEC Emerging Risk Group committee member 2016-2017
SEC Recruiting Committee 2016-2017
SEC's FrAud Group advisor 2016-2017
1st year summer paper committee member for Elia Ferracuti 2015
College Council 2014
Big Data/Analytics Committee 2014
Recruiting activities 2013-2014
Research funding committee 2014
Organized Utah workshop series 2013
Graded PhD comprehensive exam questions 2013
Advisory board participant 2012, 2014
Faculty meetings 2012, 2013, 2014
Meet the Firms 2013, 2014
MAcc Program coordination committee meetings 2013-2014
5000-Level meetings 2013-2014
Faculty retreat 2014
MAcc service project (Road Home 2013)
Recruiting lunches with PWC (2013-2014), Deloitte (2012, 2014), KPMG (2012-2014),
New faculty and PhD student recruiting 2013-2016, 2018
First- and second-year paper presentations 2012-2016, 2018

Professional Affiliations

American Economic Association
American Accounting Association
American Finance Association
European Accounting Association
Financial Management Association
Institute of Management Accountants

Beta Gamma Sigma National Honors Society

Additional information

Website: www.rogersilvers.com

Utah website: <http://eccles.utah.edu/team/roger-silvers/>

SSRN Page: http://papers.ssrn.com/sol3/cf_dev/AbsByAuth.cfm?per_id=1187554